Binomial Heaps

Chapter 19

Heap

• Under most circumstances you would use a “normal” binary heap
• Except some algorithms that may use heaps might require a “Union” operation
  – How would you implement “Union” to merge two binary heaps?
Heap Runtime

<table>
<thead>
<tr>
<th>Operation</th>
<th>Binary Heap (worst-case)</th>
<th>Binomial Heap (worst-case)</th>
<th>Fibonacci Heap (amortized)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Insert</td>
<td>(\Theta(\lg n))</td>
<td>(O(\lg n))</td>
<td>(\Theta(1))</td>
</tr>
<tr>
<td>Minimum</td>
<td>(\Theta(1))</td>
<td>(O(\lg n))</td>
<td>(\Theta(1))</td>
</tr>
<tr>
<td>Extract-min</td>
<td>(\Theta(\lg n))</td>
<td>(\Theta(\lg n))</td>
<td>(\Theta(\lg n))</td>
</tr>
<tr>
<td>Union</td>
<td>(\Theta(n))</td>
<td>(O(\lg n))</td>
<td>(\Theta(1))</td>
</tr>
<tr>
<td>Decrease-key</td>
<td>(\Theta(\lg n))</td>
<td>(\Theta(\lg n))</td>
<td>(\Theta(1))</td>
</tr>
<tr>
<td>Delete</td>
<td>(\Theta(\lg n))</td>
<td>(\Theta(\lg n))</td>
<td>(\Theta(\lg n))</td>
</tr>
</tbody>
</table>

Binomial Heaps

The binomial tree \(B_k\) is an ordered tree defined recursively.

\(B_0\)  

\(B_1\)

\(B_2\)
Binomial Trees

In general:

Properties for tree $B_k$:

- There are $2^k$ nodes
- The height of the tree is $k$
- The number of nodes at depth $i$ for $i = 0...k$ is $\binom{k}{i} = \frac{k!}{i!(k-i)!}$
- The root has degree $k$ which is greater than any other node
Binomial Heaps

A binomial heap $H$ is a set of binomials trees that satisfies the following binomial-heap properties:

1. Each binomial tree in $H$ obeys the min-heap property.
2. For any nonnegative integer $k$, there is at most one binomial tree in $H$ whose root has degree $k$.
3. Binomial trees will be joined by a linked list of the roots.

Binomial Heap Example

An $n$ node binomial heap consists of at most $\text{Floor}(\log n) + 1$ binomial trees.

\[ 0 < i_1 < i_2 < \ldots < i_{k-1} < i_k \]
Binomial Heaps

How many binary bits are needed to count the nodes in any given Binomial Tree? Answer: $k$ for $B_k$, where $k$ is the degree of the root.

- $B_0 \rightarrow 0$ bits
- $B_1 \rightarrow 1$ bits
- $B_2 \rightarrow 2$ bits
- $B_3 \rightarrow 3$ bits

Representing a Binomial Heap with 14 nodes

There are 14 nodes, which is $1110$ in binary. This also can be written as $<1110>$, which means there is no $B_0$, one $B_1$, one $B_2$ and one $B_3$. There is a corresponding set of trees for a heap of any size!
Node Representation

Each node consists of
- pointers to
  - its parent (if any),
  - its leftmost child (if any) and
  - the sibling directly to its right (if any)
- the degree of the node
- the key associated with the node

Binomial Heaps
Create New Binomial Heap

• Just allocate an object $H$, where
  $$\text{head}[H] = \text{NIL}$$
• $\Theta(1)$ runtime

Binomial Min-Heap

• Walk across roots, find minimum
• $O(\lg n)$ since at most $\lg n + 1$ trees
1. $p[y] \leftarrow z$
2. $\text{siblings}[y] \leftarrow \text{child}[z]$
3. $\text{child}[z] \leftarrow y$
4. $\text{degree}[z] \leftarrow \text{degree}[z] + 1$

Link binomial trees with the same degree. Note that $z$, the second argument to $\text{BL}()$, becomes the parent, and $y$ becomes the child.

**Binomial-Link($y, z$)**

- $z$ becomes the parent of $y$
- $\Theta(1)$ Runtime

**Binomial-Heap-Union($H_1$, $H_2$)**

1. $H \leftarrow \text{Binomial-Heap-Merge($H_1$, $H_2$)}$
   - This merges the root lists of $H_1$ and $H_2$ in increasing order of root degree
2. Walk across the merged root list, merging binomial trees of equal degree. If there are three such trees in a row only merge the last two together (to maintain property of increasing order of root degree as we walk the roots)

Concept illustrated on next slide; skips some implementation details of cases to track which pointers to change

Runtime: Merge time plus Walk Time: $O(\lg n)$
Starting with the following two binomial heaps:

```
<table>
<thead>
<tr>
<th>32</th>
<th>63</th>
</tr>
</thead>
<tbody>
<tr>
<td>69</td>
<td></td>
</tr>
</tbody>
</table>
```

```
<table>
<thead>
<tr>
<th>53</th>
</tr>
</thead>
<tbody>
<tr>
<td>80</td>
</tr>
<tr>
<td>18</td>
</tr>
</tbody>
</table>
```

Merge root lists, but now we have two trees of same degree:

```
<table>
<thead>
<tr>
<th>60</th>
</tr>
</thead>
<tbody>
<tr>
<td>32</td>
</tr>
<tr>
<td>80</td>
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<tr>
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</tr>
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<td>18</td>
</tr>
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</table>
```

Combine trees of same degree using binomial link, make smaller key the root of the combined tree:

```
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```

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**Binomial-Heap-Insert(H)**

To insert a new node, simple create a new Binomial Heap with one node (the one to insert) and then Union it with the heap

1. \( H' \leftarrow \text{Make-Binomial-Heap}() \)
2. \( p[x] \leftarrow \text{NIL} \)
3. \( \text{child}[x] \leftarrow \text{NIL} \)
4. \( \text{ sibling}[x] \leftarrow \text{NIL} \)
5. \( \text{degree}[x] \leftarrow 0 \)
6. \( \text{head}[H'] \leftarrow x \)
7. \( H \leftarrow \text{Binomial-Heap-Union}(H, H') \)

Runtime: \( O(\log n) \)
Binomial-Heap-Extract-Min(H)

With a min-heap, the root has the least value in the heap.
Notice that if we remove the root from the figure below, we are left with four heaps, and they are in decreasing order of degree. So to extract the min we create a root list of the children of the node being extracted, but do so in reverse order. Then call Binomial-Heap-Union(…)

Runtime: $\Theta(lg\ n)$

Heap Decrease Key

• Same as decrease-key for a binary heap
  – Move the element upward, swapping values, until we reach a position where the value is $\leq$ key of its parent

Runtime: $\Theta(lg\ n)$
Heap Delete Key

- Set key of node to delete to \(-\infty\) and extract it:

  \[
  \text{Decrease-key}(H, p, -\infty) \\
  \text{Extract-min}(H)
  \]

Runtime: \(\Theta(\lg n)\)